

ON THE ZEROS OF A POLYNOMIAL WITH RESTRICTED COEFFICIENTS

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ABSTRACT

If $P(z)$ be a polynomial of degree n with decreasing coefficients, then all its zeros lie in $|z| \leq 1$. In this paper we present some generalizations of this result and a refinement of a result of Dewan and Bidkham.

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1. INTRODUCTION AND STATEMENT OF RESULTS:

The following elegant result in the theory of the distribution of the zeros of polynomials is due to Enestrom and Kakeya (see[9]-[10]).

Theorem: A Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n whose coefficients satisfy

$$0 < a_0 \leq a_1 \leq a_2 \leq \dots \leq a_n,$$

then $P(z)$ has all its zeros in $|z| \leq 1$.

Several extensions and generalizations of this result are found in the literature (see[1]-[8],[11]) Aziz and Zargar ([2]) relaxed the hypothesis of Theorem A in an interesting way and proved the following extension of Theorem A:

Theorem: B Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n such that for some $k \geq 1$,

$$ka_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0,$$

then $P(z)$ has all its zeros in $|z + k - 1| \leq k$.

Dewan and Bidkham [4] proved the following result:

Theorem: C Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with complex coefficients. If $\operatorname{Re} a_j = \alpha_j$ and

$\operatorname{Im} a_j = \beta_j, j=0,1,2,\dots,n$ such that

$$0 < \alpha_n \leq \alpha_{n-1} \leq \dots \leq \alpha_\lambda \geq \alpha_{\lambda-1} \geq \dots \geq \alpha_1 \geq \alpha_0 > 0,$$

where $0 \leq \lambda \leq n$, then all the zeros of $P(z)$ lie in the circle

$$|z| \leq \frac{1}{|\alpha_n|} \{ 2\alpha_\lambda - \alpha_n + 2 \sum_{j=0}^n |\beta_j| \}$$

Shah and Liman [11] also considered polynomials with complex coefficients and proved the following result:

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Theorem: D Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with complex coefficients. If $\operatorname{Re} a_j = \alpha_j$ and $\operatorname{Im} a_j = \beta_j$, $j=0,1,2,\dots,n$ such that for some $k \geq 1$, $0 \leq \lambda \leq n-1$,

$$k\alpha_n \leq \alpha_{n-1} \leq \dots \leq k\alpha_\lambda \geq \alpha_{\lambda-1} \geq \dots \geq \alpha_1 \geq \alpha_0,$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0 > 0,$$

then all the zeros of $P(z)$ lie in

$$\left| z + \frac{\alpha_n}{a_n} (k-1) \right| \leq \frac{1}{|a_n|} \{ 2\alpha_\lambda - k\alpha_n - \alpha_0 + |\alpha_0| + \beta_n \}$$

Firstly, Theorem D is not correct, because $k \geq 1$ has no meaning in the statement. Moreover the authors claim that it is a generalization of Theorem B, which is absurd as the two results are in no way connected.

The purpose of this paper is to present the correct statement of Theorem D and then present an interesting generalization of the result which in particular provides a generalization and an extension of Theorem C. In Theorem D if we take k extremely large such that $k\alpha_n \geq \alpha_{n-1}$, the hypothesis will not work. The correct statement of the theorem is as follows:

Theorem: 1 Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with complex coefficients. If $\operatorname{Re} a_j = \alpha_j$ and $\operatorname{Im} a_j = \beta_j$, $j=0,1,2,\dots,n$ such that for some $0 < k \leq 1$, $0 \leq \lambda \leq n-1$,

$$k\alpha_n \leq \alpha_{n-1} \leq \dots \leq \alpha_\lambda \geq \alpha_{\lambda-1} \geq \dots \geq \alpha_1 \geq \alpha_0,$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0 > 0,$$

then all the zeros of $P(z)$ lie in

$$\left| z - \frac{\alpha_n}{a_n} (1-k) \right| \leq \frac{1}{|a_n|} \{ 2\alpha_\lambda - k\alpha_n - \alpha_0 + |\alpha_0| + \beta_n \}$$

As a generalization of this result, we shall prove the following result:

Theorem: 2 Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with complex coefficients. If $\operatorname{Re} a_j = \alpha_j$,

$\operatorname{Im} a_j = \beta_j$, $j=0,1,2,\dots,n$ and for some real numbers $0 < k \leq 1$, $0 < \tau \leq 1$, $0 \leq \lambda \leq n-1$,

$$k\alpha_n \leq \alpha_{n-1} \leq \dots \leq \alpha_\lambda \geq \alpha_{\lambda-1} \geq \dots \geq \alpha_1 \geq \tau\alpha_0,$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0 > 0,$$

then all the zeros of $p(z)$ lie in

$$\left| z - \frac{\alpha_n}{a_n} (1-k) \right| \leq \frac{1}{|a_n|} \{ 2\alpha_\lambda - k\alpha_n + 2|\alpha_0| - \tau(|\alpha_0| + \alpha_0) + \beta_n \}.$$

Corollary: 1 If the coefficients are >0 then, under the conditions of Theorem 2, all the zeros of $P(z)$ lie in

$$\left| z - \frac{\alpha_n}{a_n} (1-k) \right| \leq \frac{1}{a_n} \{ 2\alpha_\lambda - k\alpha_n + 2\alpha_0(1-\tau) + \beta_n \}$$

Taking $k=1$ in corollary 1, we get the following result:

Corollary: 2 If $P(z)$ is a polynomial satisfying the conditions of Theorem 2, then all the zeros of $P(z)$ lie in

$$|z| \leq \frac{1}{a_n} \{2\alpha_\lambda + 2\alpha_0(1-\tau) + \beta_n - \alpha_n\}$$

Remark: 1 For $k=1$ and $\tau=1$, corollary 1 reduces to Theorem C due to Dewan and Bidkham.

Remark: 2 If all the coefficients of $P(z)$ are real and $\tau=1$ corollary 2 reduces to Enestrom- Kakeya Theorem.

Remark: 3 If the conditions of Theorem 2 are satisfied by the imaginary parts of the coefficients, then we are able to prove the following interesting result which follows by applying Theorem 2 to $-iP(z)$.

Theorem: 3 Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with complex coefficients. If

$Re \alpha_j = \alpha_j, Im \alpha_j = \beta_j, j = 0, 1, 2, \dots, n$ and for some real numbers

$0 < k \leq 1, 0 < \tau \leq 1, 0 \leq \lambda \leq n-1,$

$$\begin{aligned} \alpha_n &\geq \alpha_{n-1} \geq \dots \geq \alpha_1 \geq \alpha_0 \geq 0 \\ k\beta_n &\leq \beta_{n-1} \leq \dots \leq \beta_\lambda \geq \beta_{\lambda-1} \geq \dots \geq \beta_1 \geq \beta_0 \tau, \end{aligned}$$

then all the zeros of $P(z)$ lie in

$$\left| z - \frac{\beta_n}{a_n} (1-k) \right| \leq \frac{1}{|a_n|} \{2\beta_\lambda - k\beta_n + 2|\beta_0| - \tau(|\beta_0| + \beta_0) + \alpha_n\}.$$

2. PROOF OF THEOREM:

Proof of Theorem: 2 Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1}) z^n + \dots + (a_{\lambda+1} - a_\lambda) z^{\lambda+1} + (a_\lambda - a_{\lambda-1}) z^\lambda + \dots + (a_1 - a_0) z + a_0 \\ &= -a_n z^{n+1} + (\alpha_n - \alpha_{n-1}) z^n + \dots + (\alpha_{\lambda+1} - \alpha_\lambda) z^{\lambda+1} + (\alpha_\lambda - \alpha_{\lambda-1}) z^\lambda + \dots + (\alpha_1 - \alpha_0) z + \alpha_0 \\ &\quad + i\{(\beta_n - \beta_{n-1}) z^n + \dots + (\beta_1 - \beta_0) z + \beta_0\} \\ &= -a_n z^{n+1} - k\alpha_n z^n + \alpha_n z^n + (k\alpha_n - \alpha_{n-1}) z^n + (\alpha_{n-1} - \alpha_{n-2}) z^{n-1} + \dots + (\alpha_{\lambda+1} - \alpha_\lambda) z^{\lambda+1} \\ &\quad + (\alpha_\lambda - \alpha_{\lambda-1}) z^\lambda + \dots + (\alpha_1 - \tau\alpha_0) z + (\tau-1)\alpha_0 z + \alpha_0 + i\{(\beta_n - \beta_{n-1}) z^n + \dots + (\beta_1 - \beta_0) z + \beta_0\} \end{aligned}$$

For $|z|>1$, we have

$$\begin{aligned} |F(z)| &\geq \left[|a_n z + k\alpha_n - \alpha_n| - \left\{ |k\alpha_n - \alpha_{n-1}| + \frac{|\alpha_{n-1} - \alpha_{n-2}|}{|z|} + \dots + \frac{|\alpha_\lambda - \alpha_{\lambda+1}|}{|z|^{n-\lambda-1}} + \frac{|\alpha_\lambda - \alpha_{\lambda-1}|}{|z|^{n-\lambda}} \right\} \right. \\ &\quad \left. + \dots + \frac{|\alpha_1 - \tau\alpha_0|}{|z|^{n-1}} + \frac{|1-\tau||\alpha_0|}{|z|^{n-1}} + \frac{|\alpha_0|}{|z|^n} \right] - \left\{ |\beta_n - \beta_{n-1}| + \dots + \frac{|\beta_1 - \beta_0|}{|z|^{n-1}} + \frac{|\beta_0|}{|z|^n} \right\} \end{aligned}$$

$$\begin{aligned} &> |z|^n \left[|a_n z - (1-k)\alpha_n| - \left\{ -k\alpha_n + \alpha_{n+1} - \alpha_{n-1} + \alpha_{n-2} + \dots + \alpha_\lambda - \alpha_{\lambda+1} + \alpha_\lambda - \alpha_{\lambda-1} + \dots + \alpha_1 - \tau\alpha_0 \right\} \right] \\ &= |a_n| |z|^n \left[z - (1-k) \frac{\alpha_n}{a_n} - \frac{1}{|a_n|} \{ -k\alpha_n + 2\alpha_\lambda + 2|\alpha_0| - \tau(|\alpha_0| + \alpha_0) + \beta_n \} \right] > 0 \end{aligned}$$

If

$$\left| z - \frac{\alpha_n}{a_n} (1-k) \right| \leq \frac{1}{|a_n|} \{ 2\alpha_\lambda - k\alpha_n + 2|\alpha_0| - \tau(|\alpha_0| + \alpha_0) + \beta_n \}$$

This shows that the zeros of $F(z)$ having modulus greater than 1 lie in

$$\left| z - \frac{\alpha_n}{a_n} (1-k) \right| \leq \frac{1}{|a_n|} \{ 2\alpha_\lambda - k\alpha_n + 2|\alpha_0| - \tau(|\alpha_0| + \alpha_0) + \beta_n \}$$

But those zeros of $F(z)$ whose modulus is less than or equal to 1 already satisfy the above inequality. Hence, we conclude that all the zeros of $F(z)$ lie in the disk

$$\left| z - \frac{\alpha_n}{a_n} (1-k) \right| \leq \frac{1}{|a_n|} \{ 2\alpha_\lambda - k\alpha_n + 2|\alpha_0| - \tau(|\alpha_0| + \alpha_0) + \beta_n \}$$

Since every zero of $P(z)$ is also a zero of $F(z)$, it follows that all the zeros of $P(z)$ lie in the disk

$$\left| z - \frac{\alpha_n}{a_n} (1-k) \right| \leq \frac{1}{|a_n|} \{ 2\alpha_\lambda - k\alpha_n + 2|\alpha_0| - \tau(|\alpha_0| + \alpha_0) + \beta_n \}$$

That completes the proof of the theorem 2.

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